

THEORETICAL AND EXPERIMENTAL STUDY  
OF SELF FERTILIZED POPULATIONS

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## 1. INTRODUCTION

Plant breeders frequently classify the material of a generation into groups according to the ancestry of the group. Replication of the groups permits the variance component due to that type of grouping to be estimated. Groups of one generation may be related to groups of another generation through common ancestry and hence covariance can be estimated. Such estimated quantities are functions of the underlying genetic mechanism and in particular of genotypic variances and covariances. They thus provide a basis for inference about the genetic mechanism.

Genotypic variances and covariances have been defined and derived under various assumptions by numerous authors; notably Fisher [1932], Mather [1949], Horner [1955], Gates [1954] and Kempthorne [1956]. The definitions have been based on infinite populations. These may be divided into sub-populations whose genotypic composition and relative frequency are known from genetic theory. The actual magnitude of a genotypic variance or covariance depends on the value assigned to each genotype. This depends on the physiology of the expression of the genotype and is usually a function, simple or complicated, of the genes of the genotype.

The relationship of sample covariances (or sample variance components) to genotypic variances and covariances is not always obvious. The expected values of the sample covariances may be a function of more than one genotypic covariance. The relationship is often further obscured by the fact that actual plant populations are finite and a defined infinite subpopulation may be represented by only a few plants. The problem of relationship becomes particularly acute in populations which reproduce by self fertilization. These give rise to populations

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having a hierarchical structure and hence to a whole set of genotypic variances and covariances.

The objective in the present paper is to describe a formal procedure for obtaining the relationship of sample covariances and variance components to genotypic variances and covariances. This procedure is described with reference to an experiment conducted at the Iowa Agricultural Experiment Station on a soybean cross using data from the  $F_2$  through the  $F_7$  generation.

## 2. DESCRIPTION OF SOYBEAN EXPERIMENT

Homozygous soybean varieties Adams and Hawkeye were crossed in 1947. Their  $F_1$  was produced in 1948, their  $F_2$  in 1949, etc. For the purposes of this study, soybeans are nearly completely self fertilized. The experiment has the structure indicated in Table 1.

TABLE 1  
STRUCTURE OF THE DATA

Year	Population	Structure			
1949	$F_2$	$A_1$	$A_2$ ...	$A_i$ ...	$A_{94}$
1950	$F_3$	$B_1$	$B_2$	$B_i$	$B_{94}$
1951	$F_4$	$C_1$ $C_1^*$	$C_2$ $C_2^*$	$C_i$ $C_i^*$	$C_{94}$ $C_{94}^*$
1952	$F_5$	$D_1$ $D_1^*$	$D_2$ $D_2^*$	$D_i$ $D_i^*$	$D_{94}$ $D_{94}^*$
1953	$F_6$	$E_1$ $E_1^*$	$E_2$ $E_2^*$	$E_i$ $E_i^*$	$E_{94}$ $E_{94}^*$
1954	$F_7$	$F_1$ $F_1^*$	$F_2$ $F_2^*$	$F_i$ $F_i^*$	$F_{94}$ $F_{94}^*$

Unselected progeny: \*. Selected progeny: no star

Ninety four random  $F_2$  plants, indicated by  $A$ 's in Table 1, were selected. The 94 progenies in the  $F_3$  generation, indicated by  $B$ 's, were grown in a simple lattice design together with parents and bulk populations. Two plants were selected at random from each  $F_3$  progeny. The 188 resulting  $F_4$  progenies, which are indicated by  $C$ 's in Table 1, were again grown in a simple lattice design. To obtain the  $F_5$  generation one of the two progenies in the  $F_4$  generation tracing to each particular  $F_2$  individual was selected at random. Two random plants of each selected progeny produced  $F_5$  progenies. The cycle was then repeated. Selected progenies are indicated in Table 1 by the absence of a star.

The letters  $B$  through  $F$  in addition to being used to represent progenies will also be used to represent adjusted phenotypic progeny means, and the letter  $A$  will also be used to represent a measured value of an  $F_2$  plant.

TABLE 2

SAMPLE COVARIANCES AND VARIANCE COMPONENTS AND THEIR EXPECTED VALUES

Sample covariance	Expected value
$A$ with $B$	Cov (2; 2, 3)
$A$ " $\frac{1}{2}(C + C^*)$	Cov (2; 2, 4)
$A$ " $\frac{1}{2}(D + D^*)$	Cov (2; 2, 5)
$A$ " $\frac{1}{2}(E + E^*)$	Cov (2; 2, 6)
$A$ " $\frac{1}{2}(F + F^*)$	Cov (2; 2, 7)
$B$ " $\frac{1}{2}(C + C^*)$	Cov (2; 3, 4) + $a$ Cov (3; 3, 4) - $a$ Cov (2; 3, 4)††
$B$ " $\frac{1}{2}(D + D^*)$	Cov (2; 3, 5) + $a$ Cov (3; 3, 5) - $a$ Cov (2; 3, 5)
$B$ " $\frac{1}{2}(E + E^*)$	Cov (2; 3, 6) + $a$ Cov (3; 3, 6) - $a$ Cov (2; 3, 6)
$B$ " $\frac{1}{2}(F + F^*)$	Cov (2; 3, 7) + $a$ Cov (3; 3, 7) - $a$ Cov (2; 3, 7)
$C$ " $\frac{1}{2}(D + D^*)$	Cov (3; 4, 5) + $b$ Cov (4; 4, 5) - $b$ Cov (3; 4, 5)
$C$ " $\frac{1}{2}(E + E^*)$	Cov (3; 4, 6) + $b$ Cov (4; 4, 6) - $b$ Cov (3; 4, 6)
$C$ " $\frac{1}{2}(F + F^*)$	Cov (3; 4, 7) + $b$ Cov (4; 4, 7) - $b$ Cov (3; 4, 7)
$D$ " $\frac{1}{2}(E + E^*)$	Cov (4; 5, 6) + $c$ Cov (5; 5, 6) - $c$ Cov (4; 5, 6)
$D$ " $\frac{1}{2}(F + F^*)$	Cov (4; 5, 7) + $c$ Cov (5; 5, 7) - $c$ Cov (4; 5, 7)
$E$ " $\frac{1}{2}(F + F^*)$	Cov (5; 6, 7) + $d$ Cov (6; 6, 7) - $d$ Cov (5; 6, 7)
$C^*$ " $\frac{1}{2}(D + D^*)$	Cov (2; 4, 5)
$C^*$ " $\frac{1}{2}(E + E^*)$	Cov (2; 4, 6)
$C^*$ " $\frac{1}{2}(F + F^*)$	Cov (2; 4, 7)
$D^*$ " $\frac{1}{2}(E + E^*)$	Cov (3; 5, 6)
$D^*$ " $\frac{1}{2}(F + F^*)$	Cov (3; 5, 7)
$E^*$ " $\frac{1}{2}(F + F^*)$	Cov (4; 6, 7)

Year	Population	Sample variance component	Expected value
1950	$F_3$	Among $A$ groups	Cov (2; 3, 3)†
1951	$F_4$	Among $B$ groups	Cov (2; 4, 4)
1951	$F_4$	Within $B$ groups	Cov (3; 4, 4) - Cov (2; 4, 4)†
1952	$F_5$	Among $C$ groups	Cov (3; 5, 5)
1952	$F_5$	Within $C$ groups	Cov (4; 5, 5) - Cov (3; 5, 5)†
1953	$F_6$	Among $D$ groups	Cov (4; 6, 6)
1953	$F_6$	Within $D$ groups	Cov (5; 6, 6) - Cov (4; 6, 6)†
1954	$F_7$	Among $E$ groups	Cov (5; 7, 7)
1954	$F_7$	Within $E$ groups	Cov (6; 7, 7) - Cov (5; 7, 7)†

†Strictly speaking year  $\times$  genotype interaction components should also be included in these expected values.

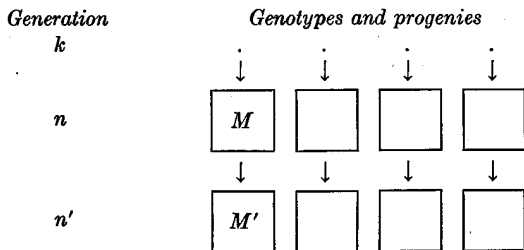
†† $a$ ,  $b$ ,  $c$  and  $d$  are the reciprocals of the harmonic means of the numbers of plants in  $B$ ,  $C$ ,  $D$ , and  $E$  respectively. The harmonic means are around 100 and hence the terms involving these coefficients are negligible.

In this experiment there were 21 sample covariances and 9 variance components which were unconfounded with environmental effects and which could be given a genetic interpretation. These are listed in Table 2 along with their expected values.

### 3. INTERPRETATION OF GENOTYPIC VARIANCES AND COVARIANCES

The meaning of the symbolization  $Cov(2; 2, 3)$  or in general  $Cov(k; n, n')$  is indicated by Figure 1.  $Cov(k; n, n')$  is the genotypic covariance of progenies in the  $n$ th generation from particular genotypes in the  $k$ th generation with progenies in the  $n'$ th generation from those same genotypes of the  $k$ th generation.

FIGURE 1  
INTERPRETATION OF  $Cov(k; n, n')$



$M$  and  $M'$  are genotypic progeny means of progenies in the  $n$  and  $n'$  generations which are descended from genotypes in the  $k$ th generation.

$$Cov(k; n, n') = Cov(M, M')$$

All genotypic variances and covariances of populations having the hierarchical structure described can be expressed as linear functions of the  $Cov(k; n, n')$ . With this notation, the genotypic variance among  $F_2$  plants is  $Cov(2; 2, 2)$ , and the covariance of  $F_2$  plants with their progenies in the  $F_3$  is  $Cov(2; 2, 3)$ . The variance of  $F_3$  progeny means is  $Cov(2; 3, 3)$  and the average variance within these progenies is  $[Cov(3; 3, 3) - Cov(2; 3, 3)]$ .

The interpretation of the  $Cov(k; n, n')$  is a logical consequence of the genetic assumptions. As an example of interpretation, consider  $Cov(3; 4, 5)$ ; that is, the genotypic covariance of progenies in the  $F_4$  and  $F_5$  generations which trace to particular genotypes in the  $F_3$  generation. Letting  $p_t$  represent the frequency of the  $t$ th genotype in the  $F_3$  and letting  $y_{t..}$  and  $y_{t...}$  represent the respective  $F_4$  and  $F_5$  progeny means, then  $Cov(3; 4, 5) = \sum_t p_t(y_{t..} - y_{...})(y_{t...} - y_{...})$  where  $y_{t..}$  and  $y_{t...}$  are the genotypic means of the  $F_4$  and  $F_5$  generations. In this

notation the generation with which a symbol is associated is indicated by the number of subscripts of the symbol. Each successive generation has one more subscript. Taking the case of a single locus with two alleles, let the values of the three genotypes be  $z + 2u$ ,  $z + u + au$  and  $z$ . From genetic theory it is known that the frequencies of the three genotypes in the  $F_3$  are  $3/8$ ,  $2/8$  and  $3/8$ . The genotypic progeny means in the  $F_4$  generation are  $z + 2u$ ,  $z + u + 1/2 au$  and  $z$ ; and in the  $F_5$  generation,  $z + 2u$ ,  $z + u + 1/4 au$  and  $z$ . Thus,  $\text{Cov}(3; 4, 5) = 3/4u^2 + 3/128a^2u^2 = (3/2)\sigma_A^2 + (3/32)\sigma_D^2$ , where  $\sigma_A^2$  and  $\sigma_D^2$  are the additive genetic and dominance variances of the  $F_2$  generation.

#### 4. DERIVATION OF THE COVARIANCE OF $C$ WITH $\frac{1}{2}(D + D^*)$

##### 4.1 Notation and model for an adjusted progeny mean:

The covariance of  $C$  with  $\frac{1}{2}(D + D^*)$  is derived in this section to illustrate the derivation of covariances and variance components. For this purpose  $C_i$  will be represented by

$$x_{i.} = \frac{\sum_j x_{ij}}{n_i}$$

where  $x_{ij}$  is the value of the  $j$ th plant in  $C_i$  and  $n_i$  is the number of plants in  $C_i$ . For  $D_i$  we will use

$$x_{ij'.} = \frac{\sum_k x_{ij'k}}{n_{ij'}}$$

where  $x_{ij'k}$  is the value of the  $k$ th plant in  $D_i$ . The prime on the subscript  $j$  denotes the fact that the  $D_i$  progeny is from a particular plant in  $C_i$ , the  $j'$  plant say. The  $j'$  plant is one of the two plants which was selected at random in  $C_i$ . The other will be indicated by  $j''$ . For  $D_i^*$  we will use

$$x_{ij''.} = \frac{\sum_k x_{ij''k}}{n_{ij''}}$$

The expected value of the sample covariance is

$$E\{\widehat{\text{Cov}}[C, \frac{1}{2}(D + D^*)]\} \\ = \frac{1}{2} E\left\{ \frac{\sum_i (x_{i.} - x_{..})(x_{ij'.} + x_{ij''.} - x_{j'.} - x_{j''.})}{n - 1} \right\}$$

$$i = 1, 2, \dots, n$$

which can be evaluated most easily by making use of the equivalent

expression

$$\begin{aligned}
 & E\{\widehat{\text{Cov}} [C, \frac{1}{2}(D + D^*)]\} \\
 &= \frac{1}{2n(n-1)} \sum_i \sum_{i'} E\{(x_i - x_{i'})[\frac{1}{2}(x_{i'i} + x_{i''i'} - x_{i'i''} - x_{i''i''})]\} \\
 &= \frac{1}{2n(n-1)} \sum_i \sum_{\substack{i' \\ i' \neq i}} E\{PP'\}, \text{ say}
 \end{aligned}$$

which thus reduces the problem to that of finding the expected covariance associated with individual degrees of freedom.

The model for  $x_i$  is  $x_i = \mu_1 + z_i + \epsilon_i$  where  $\mu_1$  and  $\epsilon$  have the usual meanings and  $z_i$  is the mean of the genotypic effects in the  $C_i$  progeny assumed to be random. The model for  $x_{i'i'}$  is similar except that  $\mu_2$  replaces  $\mu_1$  and  $z_{i'i'}$  replaces  $z_i$ . Strictly speaking the models should have an additional term for year  $\times$  genotype interaction. For present purposes, this term is not considered. Only certain of the sample variance components would have their expectations changed under the more complex model.

#### 4.2 Genotypic covariance of observed progeny means:

Assuming that the environmental effects of one year are uncorrelated with those of another year, then  $EPP'$  reduces to

$$\begin{aligned}
 EPP' = \frac{1}{2} \{ & E(z_i z_{i'i'}) + E(z_i z_{i''i''}) \\
 & + E(z_{i'i'} z_{i''i''}) + E(z_{i'i'} z_{i''i''}) - E(z_i z_{i'i'}) \\
 & - E(z_i z_{i''i''}) - E(z_{i'i'} z_{i''i''}) \}.
 \end{aligned}$$

By the procedure to be described subsequently it can be shown that the four terms entering with a plus sign are equal while the other four are zero and thus  $EPP' = 2E(z_i z_{i'i'})$ . This genotypic covariance of observed progeny means can be written as

$$E(z_i z_{i'i'}) = E\left\{ \frac{z_{i1} + \dots z_{i'i'} + \dots z_{in_i}}{n_i} \right\} \left\{ \frac{z_{i'i'1} + \dots z_{i'i'n_{i'i'}}}{n_{i'i'}} \right\}$$

which reduces to

$$\frac{n_i - 1}{n_i} E(z_{ij} z_{i'i'k}) + \frac{1}{n_i} E(z_{ij} z_{i'i'j}).$$

$E(z_{ij} z_{i'i'k})$  is the genotypic covariance of a plant in  $C_i$  with a plant in the progeny of a sib.  $E(z_{ij} z_{i'i'j})$  is the genotypic covariance of a plant in  $C_i$  with a plant in its own progeny.

## 4.3 Model for genotypic effect of an observed plant:

The genotypic covariances of observed plants,  $E(z_{ij}z_{i'j'k})$  and  $E(z_{ij}z_{i'j'k})$ , can be related to population genotypic covariances. To do this let  $\delta_{iu}^{ij}$  be a random variable that assumes the value *one* when the genotypic effect of the  $j$ th plant in  $C_i$  corresponds to the genotypic effect of the  $u$ th genotype in the progeny of the  $t$ th genotype in the  $F_3$  generation and zero otherwise. Likewise let  $\delta_{i'u'}^{i'j'k}$  be a random variable which assumes the value *one* if the  $k$ th plant in the progeny from the  $j$ th plant in the progeny of  $C_i$  corresponds to the genotypic effect of the  $v$ th genotype in the progeny from the  $u$ th genotype in the progeny from the  $t$ th genotype of the  $F_3$  generation and zero otherwise.

The properties of the  $\delta$ 's are known from genetic theory. For example  $E(\delta_{iu}^{ij}) = p_i p_{tu}$  where  $p_i$  is the probability of the  $t$ th genotype in the  $F_3$  generation and  $p_{tu}$  is the probability of the  $u$ th genotype in the progeny of the  $t$ th genotype given the  $t$ th genotype. Similarly  $E(z_{i'u'}^{i'j'k}) = p_i p_{tu} p_{i'u'}$  and

$$E(\delta_{iu}^{ij} \delta_{i'u'}^{i'j'k}) = \begin{cases} p_i p_{tu} p_{i'} p_{t'u'} & \text{for } i' \neq i \\ p_i p_{tu} p_{t'u'} & \text{for } i' = i, t' = t \text{ and } j' \neq j \\ p_i p_{tu} p_{i'u'} & \text{for } i' = i, t' = t, j' = j, \text{ and } u' = u \\ 0 & \text{otherwise} \end{cases}$$

The genotypic effects of observed plants are now related to population genotypic effects by the equations

$$z_{ij} = \sum_t \sum_u \delta_{iu}^{ij} (y_{tu} - y_{..})$$

$$z_{i'j'k} = \sum_t \sum_u \sum_v \delta_{i'u'}^{i'j'k} (y_{t'u'} - y_{...})$$

where  $y_{tu}$  is the genotypic value of the  $u$ th genotype in the progeny of the  $t$ th genotype,  $y_{..}$  is the genotypic mean of the  $F_3$  generation and  $y_{t'u'}$  and  $y_{...}$  have similar meanings. It follows that

$$E(z_{ij} z_{i'j'k}) = E\left\{ \sum_t \sum_u \delta_{iu}^{ij} (y_{tu} - y_{..}) \right\} \left\{ \sum_t \sum_u \sum_v \delta_{i'u'}^{i'j'k} (y_{t'u'} - y_{...}) \right\}.$$

After simplifying the right hand side by making use of the properties of the  $\delta$ 's, we have

$$E(z_{ij} z_{i'j'k}) = \sum_t p_t (y_{t.} - y_{..})(y_{t...} - y_{...})$$

$$= \text{Cov}(3; 4, 5).$$

In a similar manner it can be shown that

$$E(z_{ij} z_{i'j'k}) = \text{Cov}(4; 4, 5).$$

4.4 *Expected Value of  $\widehat{\text{Cov}} [C, (D + D^*)/2]$ :*

This expected value is then

$$\frac{1}{2n(n-1)} \sum_i \sum_{i' \neq i} 2 \left\{ \left( \frac{n_i - 1}{n_i} \right) \text{Cov} (3; 4, 5) + \frac{1}{n_i} [\text{Cov} (4; 4, 5)] \right\}.$$

However,  $\text{Cov} (4; 4, 5)$  represents the total genotypic covariance of the  $F_4$  and  $F_5$  generations. Hence  $\text{Cov} (4; 4, 5)$  contains  $\text{Cov} (3; 4, 5)$  plus an additional covariance that might be represented as  $\text{Cov} (4; 4, 5) - \text{Cov} (3; 4, 5)$ . Making this substitution and simplifying, we have

$$E\{\widehat{\text{Cov}} [C, \frac{1}{2}(D + D^*)]\} = \text{Cov} (3; 4, 5) + b[\text{Cov} (4; 4, 5) - \text{Cov} (3; 4, 5)]$$

where  $b$  is the reciprocal of the harmonic mean of the  $n_i$ , which is the number of plants in  $C_i$ .

5. NUMERICAL EXAMPLE

The derivations above have shown that the expected values of the sample covariances are linear functions of the  $\text{Cov} (k; n, n')$ ; it remains to be indicated how actual genotypic models are fitted to the data. Accordingly, let  $Y$  represent a sample covariance. Then

$$Y = \text{Cov} (k; n, n') + \epsilon$$

where  $\epsilon$  is an error.

For instance, if an additive model with dominance with additive  $\times$  additive interaction, two alleles per locus, no linkage and a gene frequency of one-half is assumed, then it can be shown that

$$\text{Cov} (k; n, n') = \left( \frac{2^{k-1} - 1}{2^{k-2}} \right) \sigma_A^2 + \left( \frac{2^{k-1} - 1}{2^{n+n'-4}} \right) \sigma_D^2 + \left( \frac{2^{k-1} - 1}{2^{k-2}} \right)^2 \sigma_{AA}^2.$$

If one makes other assumptions then  $\text{Cov} (k; n, n')$  will be different. Assuming the above model, however, and defining

$$\beta_1 = \sigma_A^2, \quad \beta_2 = \sigma_D^2, \quad \beta_3 = \sigma_{AA}^2,$$

$$X_1 = \frac{2^{k-1} - 1}{2^{k-2}}, \quad X_2 = \frac{2^{k-1} - 1}{2^{n+n'-4}}, \quad \text{and} \quad X_3 = \left( \frac{2^{k-1} - 1}{2^{k-2}} \right)^2,$$

the model for the sample covariance becomes

$$Y = \beta_1 X_1 + \beta_2 X_2 + \beta_3 X_3 + \epsilon$$

and least squares procedures can be utilized to give estimates of  $\beta_1$ ,  $\beta_2$  and  $\beta_3$ . Values of the  $X$ 's for the thirty sample covariances are

shown in Table 3 along with the sample covariances for the character maturity. This character maturity was the number of days after August 31 that 95 to 100% of the pods in a plot were ripe as measured by eye.

TABLE 3  
OBSERVED AND ESTIMATED VALUES OF COVARIANCES

Covariance	Sample covariance				Estimated value		
	$X_1$	$X_2$	$X_3$	$Y$	$\hat{Y}_1$	$\hat{Y}_2$	$\hat{Y}_3$
Cov (2; 2, 3)	1.00	.50	1.00	9.7	10.9	11.6	11.5
Cov (2; 2, 4)	1.00	.25	1.00	7.1	10.9	11.2	11.2
Cov (2; 2, 5)	1.00	.13	1.00	7.3	10.9	11.0	11.1
Cov (2; 2, 6)	1.00	.06	1.00	6.0	10.9	10.9	11.0
Cov (2; 2, 7)	1.00	.03	1.00	7.6	10.9	10.9	11.0
Cov (2; 3, 4)	1.00	.13	1.00	13.2	10.9	11.0	11.1
Cov (2; 3, 5)	1.00	.06	1.00	14.2	10.9	10.9	11.0
Cov (2; 3, 6)	1.00	.03	1.00	13.1	10.9	10.9	11.0
Cov (2; 3, 7)	1.00	.02	1.00	13.8	10.9	10.9	11.0
Cov (3; 4, 5)	1.50	.09	2.25	16.6	16.4	16.4	16.5
Cov (3; 4, 6)	1.50	.05	2.25	13.9	16.4	16.3	16.4
Cov (3; 4, 7)	1.50	.02	2.25	15.3	16.4	16.3	16.4
Cov (4; 5, 6)	1.75	.05	3.06	18.0	19.1	19.1	19.1
Cov (4; 5, 7)	1.75	.03	3.06	20.2	19.1	19.0	19.1
Cov (5; 6, 7)	1.88	.03	3.52	19.3	20.5	20.4	19.3
Cov (2; 4, 5)	1.00	.03	1.00	11.8	10.9	10.9	11.0
Cov (2; 4, 6)	1.00	.02	1.00	9.7	10.9	10.9	11.0
Cov (2; 4, 7)	1.00	.01	1.00	11.4	10.9	10.9	11.0
Cov (3; 5, 6)	1.50	.02	2.25	17.0	16.4	16.3	16.4
Cov (3; 5, 7)	1.50	.01	2.25	18.2	16.4	16.3	16.4
Cov (4; 6, 7)	1.75	.01	3.06	16.9	19.1	19.0	19.1
Cov (2; 3, 3)	1.00	.25	1.00	19.8	10.9	11.2	11.2
Cov (2; 4, 4)	1.00	.06	1.00	10.9	10.9	10.9	11.0
Cov (3; 4, 4) - Cov (2; 4, 4)	.50	.13	1.25	5.5	5.5	5.6	5.5
Cov (3; 5, 5)	1.50	.05	2.25	20.0	16.4	16.3	16.4
Cov (4; 5, 5) - Cov (3; 5, 5)	.25	.06	.81	3.8	2.7	2.8	2.7
Cov (4; 6, 6)	1.75	.03	3.06	17.1	19.1	19.0	19.1
Cov (5; 6, 6) - Cov (4; 6, 6)	.13	.03	.45	3.0	1.4	1.4	1.4
Cov (5; 7, 7)	1.88	.01	3.52	21.8	20.5	20.4	20.4
Cov (6; 7, 7) - Cov (5; 6, 6)	.06	.02	.24	2.4	.7	.7	.7

$R^2$ : .9623 .9625 .9627

$$\hat{Y}_1 = 10.92X_1$$

$$\hat{Y}_2 = 10.85X_1 + 1.43X_2$$

$$\hat{Y}_3 = 11.06X_1 + 1.07X_2 - .10X_3$$

Additive Model

Additive Model with dominance

Additive Model with dominance and additive  $\times$  additive interaction

The three  $\hat{Y}$  columns shown in Table 3 represent the population covariances as estimated from linear regression, associated with a completely additive model, an additive model with dominance, and an additive model with dominance and additive  $\times$  additive interaction.

A detailed interpretation of this set of data will not be given here. It might be noted, however, that the completely additive model fits this set of data as well as the two more complex models, explaining about 96% of the variation in the dependent variable.

Confidence limits for the Cov ( $k; n, n'$ ) and prediction limits for the sample covariances in the case of the completely additive model are shown in Table 4. In interpreting the data one must bear in mind

TABLE 4  
CONFIDENCE AND PREDICTION LIMITS FOR THE COMPLETELY ADDITIVE MODEL

Coefficient of $\sigma_A^2$ , $X_1$	Confidence limits for population covariances		Prediction limits for sample covariances	
	$l_1$	$l_2$	$l_1$	$l_2$
.0625	.63	.73	-4.9	6.3
.125	1.26	1.47	-4.3	7.0
.25	2.53	2.94	-2.9	8.4
.5	5.05	5.87	-.2	11.1
1.0	10.1	11.7	5.2	16.6
1.5	15.2	17.6	10.6	22.1
1.75	17.7	20.5	13.3	24.9
1.875	18.9	22.0	14.6	26.3

that certain of the estimates of the variance components may be biased upward because of the ignoring of genotype  $\times$  year interaction. The estimates of the 21 sample covariances and the 9 sample variance components are not completely independent. Linkage may be an important factor in the early generations and natural selection progressively more important in the latter generations. The  $F_2$  data were individual plant values, while the data of other generations were based on plot values.

#### SUMMARY

This paper is concerned with the derivation of the expected values of sample covariances and variance components in terms of genotypic variances and covariances for populations produced from crossing two homozygous lines and subsequent self-fertilization. Such genotypic variances and covariances have been defined by authors including

Fisher, Mather, Horner and Kempthorne. Use is made of random variables such as  $\delta_i^j$ , where  $\delta_i^j = 1$  if the genotype of the plant labeled  $i$  in an experiment corresponds to the  $j$ th genotype in a list of possible genotypes and zero otherwise. Application was made to purity data of a soybean experiment. In this experiment 21 covariances and 9 variance components, unconfounded with environmental effects, were estimated. A completely additive model fitted this set of data as well as did two more complex models, explaining 96% of the variation among the sample covariances and variance components.

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